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TRANSMITTAL FORM

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Total Number of Pages in This Submission

3

Application Number

09/973,994

Filing Date

11 October 2001

First Named Inventor

CAIRNEY, John

Art Unit

1631

Examiner Name

Brusca, John S.

Attorney Docket Number

GTRC156

ENCLOSURES

(Check all that apply)



Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)



Reply to Missing Parts/
Incomplete Application



Reply to Missing Parts
under 37 CFR 1.52 or 1.53



Drawing(s)



Licensing-related Papers



Petition



Petition to Convert to a
Provisional Application



Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____



Landscape Table on CD



After Allowance Communication to TC



Appeal Communication to Board
of Appeals and Interferences



Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)



Proprietary Information



Status Letter



Other Enclosure(s) (please identify
below):

Written Assertion of Small Entity
Status, and Return Receipt Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Troutman Sanders LLP

Signature

Printed name

Ryan A. Schneider

Date

18 October 2006

Reg. No.

45,083

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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Pamela G. Mashburn

Typed or printed name

Pamela G. Mashburn

Date

18 October 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Patent
Customer No.: 006980
Docket No.: GTRC156

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

CAIRNEY, John et al.

Serial No.: 09/973,994

Filed: 11 October 2001

For: **DIFFERENTIALLY-EXPRESSED
CONIFER CDNAS, AND THEIR USE IN
IMPROVING SOMATIC
EMBRYOGENESIS**

) Confirmation No.: 5951
)
) Group Art Unit: 1631
)
) Examiner: Brusca, John S.
)
)
)
)
)
)

WRITTEN ASSERTION OF SMALL ENTITY STATUS

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Atlanta, GA 30308-2216
18 October 2006

Sir:

U.S. Application Serial No. 09/973,994 is currently owned by a small entity, the Georgia Tech Research Corporation. The case was assigned to the Georgia Tech Research Corporation on 5 November 2004, and recorded on 11 November 2004 at Reel/Frame 015353/0221. The Georgia Tech Research Corporation is a small entity as defined under 37 CFR 1.27.

The undersigned attorney for Applicant herein makes written assertion as to the appropriateness of the small entity fee payment of \$700.00 for the issue fee, as authorized in the *Power of Attorney and Correspondence Address Indication Form and Statement Under 37 CFR 3.73(b)* filed in the USPTO on 14 January 2005.

Certificate of Mailing:

I hereby certify that this correspondence is being submitted by U.S. Mail to the U.S. Patent and Trademark Office in accordance with §1.8 on this date, and the correspondence includes a certificate for each piece of correspondence stating the date of mailing. The person signing the certificate has a reasonable basis to expect that the correspondence will be mailed on or before the date indicated.

Pamela G. Machborn

Name

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Signature

18 October 2006

Date

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Respectfully submitted,

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